



**Compliance Support.
Without the Full-Time Cost.**

**Flexible, ongoing retainer services
giving you access to expert Compliance support**

Three Tiers

Enhanced

- ✓ Suitable for firms looking for outsourced, strategic Compliance support
- ✓ The most comprehensive offering, providing both advisory and assurance support

Core

- ✓ Suitable for firms looking for ongoing access to compliance expertise with a lighter assurance requirement.

Essentials

- ✓ Suitable for firms looking for ongoing access to compliance expertise

**Monthly Retainers from
£750 p/m + VAT**

Tier Comparison

	Level of Support	Enhanced	Core	Essentials
Ongoing Support	No Time Cap	✓		
	Up to 5 hours Per Month		✓	✓
Horizon Scanning	Quarterly Report	✓	✓	✓
Compliance Framework Document Review	Up to 10 Documents	✓		
	Up to 5 Documents		✓	
Consumer Duty Review	Annual Board Report	✓	✓	
	Annual Outcomes Monitoring	✓		
	Fair Value Assessment	✓		
Compliance Monitoring	Number of Reviews Per Year	✓ Up to 4 reviews	✓ 1 review	
	Annual Programme Design	✓		
Governance	1 Board Attendance Per Year	✓		
	Board/Committee Effectiveness Review	✓		
Periodic Reporting	Quarterly	✓		
	Annual		✓	

Fees

Two fee options

- **Quarterly upfront fee**
- **Annual upfront fee** benefits from a discount equating to 12 months of service for the price of 10 months

Enhanced	Core	Essentials
Monthly Cost (paid quarterly)		
<u>£3,250 p/m + VAT</u> £39,000 p/a + VAT over 12 months	<u>£1,500 p/m + VAT</u> £18,000 p/a + VAT over 12 months	<u>£750 p/m + VAT</u> £9,000 p/a + VAT over 12 months
Annual Cost (single payment)		
<u>£32,500 p/a + VAT</u> Equivalent to c£2,700 p/m + VAT	<u>£15,000 p/a + VAT</u> Equivalent to £1,250 p/m + VAT	<u>£7,500 p/a + VAT</u> Equivalent to £625 p/m + VAT

Additional Services

All tiers can add additional services to the standard offerings, depending on your requirements

- **Additional Consumer Duty Support**
- **SMCR and Conduct Risk Effectiveness Reviews**
- **FCA Authorisations or Permission Reviews**
- **Training**
- **Appointed Representatives Oversight**
- **Acquisition and Due Diligence Support**
- **Complaint Framework and Root Cause Analysis Reviews**

Why Danbury Compliance

Senior Consultant

- ✓ Regardless of the tier you choose, you will have a senior, experienced consultant delivering your service

Versatile

- ✓ Through our experience, we are able to tailor our retainer service to meet your requirements

Value

- ✓ Given our low overheads, you will be receiving a high-quality service at a lower cost than other larger consultancies

Quality

As members of the Association of Professional Compliance Consultants (APCC):

- ✓ We maintain the highest professional standards
- ✓ We keep our knowledge updated, meaning you will be receiving guidance and advice that aligns with the latest best practice



DANBURY
— COMPLIANCE —

Ready to find out more?

Let's discuss

[Book a Call](#)